

**Date:** April 18, 2024

**BSE Limited**

Department of Corporate Services  
Pheroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001

**National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block  
Bandra- Kurla Complex, Mumbai-400051

**SCRIP Code- 544136**

**SYMBOL-RKSWAMY**

**ISIN: INE0NQ801033**

Dear Sir/Madam,

**Subject: Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2024**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the compliance certificate issued by S Dhanapal & Associates LLP, the Practicing Company Secretaries for the financial year ended March 31, 2024.

We request you to take the same on record.

Thanking you

**For R K Swamy Limited**

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**Aparna Bhat**

**Compliance Officer**

**Membership No.:** A19995

**Address:** Esplanade House, 29, Hazarimal Somani Marg,  
Fort, Mumbai 400 001

**Place:** Mumbai

Suite No. 103, First Floor, Kaveri Complex  
No. 96/104, Nungambakkam High Road  
(Next to NABARD & ICICI Bank)  
Nungambakkam, Chennai - 600 034  
Phone No. 044 - 4553 0256  
4553 0257 / 4265 2127  
E-mail: csdhanapal@gmail.com  
secretarial@csdhanapal.com  
website: www.csdhanapal.com

## **S Dhanapal & Associates LLP** **Practising Company Secretaries**

LLPIN ACB - 0368

(Regd. with Limited Liability Under the LLP Act, 2008)

**Designated Partners :**

S. Dhanapal, B.Com., B.A.B.L., F.C.S

N. Ramanathan, B.Com., F.C.S

Smita Chirimar, M.Com., F.C.S, DCG(ICSI)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD  
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,  
2015 FOR THE PERIOD 12.03.2024 - 31.03.2024**

With regard to the Certificate to be provided by us as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for **M/s. R K SWAMY LIMITED** having CIN: **L74300TN1973PLC006304** for the period from **12<sup>th</sup> March, 2024** to **31<sup>st</sup> March, 2024**, we confirm that as per information provided by the Registrar and Transfer Agent (RTA), there was no physical transfer / transmission / split / replacement / consolidation / sub-division / duplicate / renewal / exchange / endorsement of calls / allotment money during the said period.

**FOR S DHANAPAL & ASSOCIATES LLP  
(PRACTISING COMPANY SECRETARIES)**



*Smita Chirimar*

**SMITA CHIRIMAR  
(DESIGNATED PARTNER)**

**M.No.F.8137**

**C.P.NO.9357**

**UDIN: F008137F000088085**

**Date: 11.04.2024**

**Place: Chennai**

**SD**